

# Proof of Makarov's dimension Theorem: the Upper bound

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Thm.  $f: \mathbb{D} \rightarrow \mathcal{N}$  - conformal,  $\mathcal{N}$  - Jordan.

Then  $|\mathcal{N}| < \infty \Leftrightarrow f' \in H^1$  (i.e.  $\sup \int |f'(z)| |dz| < \infty$ ).

Moreover,  $|f(A)| = \int_A |f'(\zeta)| |d\zeta|$ ,  $|E| = 0 \Leftrightarrow |f(E)| = 0$ .

Pf. Let  $f' \in H^1$ .  $f$  can be extended to  $\overline{\mathbb{D}}$ .  
 $\Lambda_1(\mathcal{N}) = \sup_{\text{non-tangential}} \sum |f(e^{i\theta_j}) - f(e^{i\theta_{j-1}})| = \sup_{\nu \rightarrow 1-} \sum |f(\nu e^{i\theta_j}) - f(\nu e^{i\theta_{j-1}})|$   
 $= \lim_{\nu \rightarrow 1-} \int |f'(\nu e^{i\theta})| d\theta = \|f'\|_{H^1}$ . Applying it to  $A$ , get  $|f(A)| \leq \lim_{\nu \rightarrow 1-} \int |f'(\nu \zeta)| d\zeta$   
 $= \int_A |f'(\zeta)| |d\zeta|$ .

Conversely, take any point  $\zeta$  on  $\partial \mathbb{D}$ .

$\psi(z) := \sum |f(z e^{i\theta_j}) - f(z e^{i\theta_{j-1}})|$  - subharmonic, no max at some  $|z| = 1$ .

$(\psi(z)) \leq |\psi(z)| \leq \Lambda_1(\mathcal{N})$ .

But  $\psi(\nu)$  is a Riemann sum for  $\int |f'(\nu e^{i\theta})| d\theta$ , so  $\int |f'(\nu e^{i\theta})| d\theta \leq \Lambda_1(\mathcal{N})$ . Apply to  $A$  to get another  $\gamma$  in  $\partial \mathbb{D}$  such

so  $|E| = 0 \Rightarrow |f(E)| = 0$ . But  $|f(E)| = 0 \Rightarrow |f'(\zeta)| = 0$  a.e.  $\zeta \in E$ .

But  $f' \in H^1$ . We use Riesz uniqueness Thm to show that  $f' \equiv 0$ .

Corollary  $\mathcal{N}$  - rectifiable  $\Leftrightarrow f'(e^{i\theta})$  exist, a.e. as radial or non-tangential limit.

Def.  $\Gamma_{\alpha, h}(\zeta) = \{z \in \mathbb{D}, \text{dist}(z, \zeta) < \alpha, |z| > h\}$ .

$f$  is non-tangentially bounded at  $\zeta \in \partial \mathbb{D}$ , h:  $\sup_{z \in \Gamma_{\alpha, h}(\zeta)} |f(z)| < \infty$ .

Thm. Let  $f$  be meromorphic in  $\mathbb{D}$ , so some

$E \subset \partial \mathbb{D}$ ,  $f$  is non-tangentially bounded  $\forall \zeta \in E$ . Then  $\forall \zeta \in E$ :

$\exists \alpha, h$  (depending on  $\zeta$ ) such that  $\lim_{z \in \Gamma_{\alpha, h}(\zeta)} f(z)$ .

Pf.  $\nexists$   $k$ -compact:  $|E \setminus k| < \varepsilon$ ,  $\varepsilon \in E$ , with  $\exists \alpha, h, M \forall \zeta \in k$

$\sup_{z \in \Gamma_{\alpha, h}(\zeta)} |f(z)| \leq M$ .

Take  $\mathcal{N} = \bigcup_{\zeta \in k} \Gamma_{\alpha, h}(\zeta)$



Then  $\|f\|_{\infty, \mathcal{N}} \leq M$ . Let  $\mathcal{N}_j$  - any connected component.  $\mathcal{N}_j$  -

rectifiable, so  $\exists \varphi_j: \mathbb{D} \rightarrow \mathcal{N}_j$  - uniformization,  $\varphi_j \in H^\infty \Rightarrow f \circ \varphi_j$  has non-tangential limit a.e. so  $\forall j$ :  $|\{\zeta \in \partial \mathbb{D} : \zeta \in \mathcal{N}_j, \lim_{\nu \rightarrow 1-} f(\nu \zeta) \text{ does not exist}\}| = 0$ .

Thm (Privalov). Let  $f(z)$  meromorphic,  $\lim_{z \rightarrow \zeta} f(z) = 0$  on a set of positive measure. Then  $f \equiv 0$ .

Pf. Let  $E = \{\zeta : \lim_{z \rightarrow \zeta} f(z) = 0\}$ . Take  $\varepsilon > 0$ .

Take  $k \subset E$ ,  $|k| > 0$ ; s.t.  $\exists \alpha, h$ :  $|f(\zeta)| < \varepsilon$  on  $\Gamma_{\alpha, h}(\zeta)$ ,  $\zeta \in k$ .

Define  $\mathcal{N}_j$  - connected component of  $\bigcup \Gamma_{\alpha, h}(\zeta)$ .  $\exists j$ :  $|\mathcal{N}_j \cap k| > 0$ .

$\nexists \varphi_j: \mathbb{D} \rightarrow \mathcal{N}_j$ ,  $f \circ \varphi_j \in H^\infty$  on  $\varphi_j^{-1}(k)$ , we have  $f \circ \varphi_j \equiv 0$ . So since  $|k \cap \mathcal{N}_j| = |\varphi_j^{-1}(k)|$ , we have  $|f| > 0$ . So  $f \equiv 0$  on  $\mathcal{N}_j$ .

Def  $\gamma \subset \mathbb{D}$  is a Plessner set for  $f$  if  $\forall \delta, h \in (0, 1)$   $f(\gamma_{\delta, h}(\gamma))$  is dense in  $\mathbb{C}$ .

Thm (Plessner).  $f \neq \text{const}$ , meromorphic. Then

$\mathbb{D} = \bigcup_{\gamma \in \mathcal{G}} \gamma \cup P$ :  $|N|=0$ ,  $\forall \gamma \in \mathcal{G}$  - Plessner set for  $f$ , and  $\forall \gamma \in \mathcal{G} \exists \gamma_{\delta, h}(\gamma)$  with  $\lim_{z \in \gamma_{\delta, h}(\gamma)} f(z) \exists$  and  $\neq 0$ .

Pf. Take  $P$ -set of Plessner  $P$ -sets.  $E := \mathbb{D} \setminus P$ .

Take  $\{w_n\}$  - dense in  $\mathbb{C}$ ,  $\varepsilon_n \rightarrow 0$ .

$E_{n, h} := \{\gamma : \exists \gamma_{\delta, h}(\gamma) : \forall z \in \gamma_{\delta, h}(\gamma) : |f(z) - w_n| > \varepsilon_n\}$ .

$E = \bigcup_{n, h} E_{n, h}$  ( $\forall \gamma \in E$  is not Plessner).

Consider  $\frac{1}{f(z) - w_n}$  -  $w_n$ -tangentially bounded on  $E_{n, h}$  (by  $\frac{1}{\varepsilon_n}$ )  
a.e.  $\gamma \in E_{n, h} \exists \lim_{z \in \gamma_{\delta, h}(\gamma) \rightarrow \gamma} f(z) \neq 0$  a.e. by uniqueness + limit

Vitali Covering Lemma.  $E \subset \mathbb{R}^n$ ,  $E \subset \bigcup B(x_i, r_i)$ :  
 $\forall \varepsilon > 0, x \in E \Rightarrow \exists B(x, r) \ni x, r < \varepsilon$ . Then one can find disjoint subfamily of balls  $B'(x_i, r_i)$ :  $m_n(E \setminus \bigcup B'(x_i, r_i)) = 0$ .

Pf. WLOG  $E$  bounded (otherwise, consider anal. on  $\mathbb{R}^n$  by bounded).  
Choose  $B_k$  with  $\frac{1}{2}$  largest radius not intersecting previously chosen.  
Then  $\sum m_n(B_k) < \infty$ , and if  $D_k := 5B_k$ , then  $E \setminus \bigcup_{k=1}^{\infty} B_k \subset \bigcup_{k=1}^{\infty} D_k$ ,  
so  $m(E \setminus \bigcup_{k=1}^{\infty} B_k) \leq 5^n \sum_{k=1}^{\infty} m(B_k) \rightarrow 0$ .

Lemma (Milloux)  $\exists c > 0$ :  $\forall$  connected  $E \subset \{ \frac{1}{2} \leq |z| \leq 1 \}$ ,

$E \cap \{ |z| = \frac{1}{2} \} \neq \emptyset, E \cap \{ |z| = 1 \} \neq \emptyset \Rightarrow \omega(E, D \setminus E, 0) \geq c$ .

Remark. Borel's projection then  $\Rightarrow$  minimal in  $\mathcal{H} \cap E = \{ \frac{1}{2} \leq t \leq 1 \}$  - interval.

Pf. WLOG  $\frac{1}{2} \in E$ .  $\bar{E}$  - complex conjugate of  $E$ .

By max. principle,

$\omega(E, D \setminus E, z) + \omega(\bar{E}, D \setminus \bar{E}, z) \geq \omega(E \cup \bar{E}, D \setminus (E \cup \bar{E}), z)$

$\geq \omega(\{ \frac{1}{2}, 1 \}, D \setminus \{ \frac{1}{2}, 1 \}, z)$ . Take  $z=0$ , so

$\omega(E, D \setminus E, 0) = \omega(\bar{E}, D \setminus \bar{E}, 0)$

Def.  $E \subset \mathbb{R}^n$ ,  $\{z_n\} \subset \mathbb{R}^n$ .  $\{z_n\}$  are said to be non-tangentially dense on  $E$  if  $\forall \delta$ :  $\forall \xi \in E$ :  $\exists z_{n_k} \rightarrow \xi, z_{n_k} \in \gamma_{\delta}(\xi)$ .

Lemma (Rohde) Let a sequence  $\{z_k\}$  be non-tangentially dense on  $E \subset \mathbb{D}$ ,  $f: \mathbb{D} \rightarrow \mathbb{R}^n$  - conformal,  $w_k := f(z_k)$ ,  $r_k := \text{dist}(w_k, \mathbb{R}^n)$ ,  $B_k := B(w_k, r_k)$ .  
 $V := \mathbb{R}^n \cap \bigcup B_k$ . Then  $|E \setminus f^{-1}(V)| = 0$ .

Pf. Assume false.  $W_k$  - component of  $w_k$  of  $B_k \cap \mathbb{R}^n$ .

$\omega(V, \mathbb{R}^n, w_k) \geq \omega(\mathbb{R}^n, W_k, w_k) \geq c$ , by Milloux Lemma.

$u(z) := \omega(V, \mathbb{R}^n, f(z))$  - harmonic,  $\neq 0$  in  $\mathbb{D}$ . Has non-tangential limit 0 a.e. on  $\mathbb{T}$  (bounded!).

$\Rightarrow$  a.e.  $\xi \in E$  has non-tangential limit

select  $z_{n_k} \rightarrow \xi$  non-tangentially,  $u(z_{n_k}) \geq c \Rightarrow u(\xi) \geq c \Rightarrow$

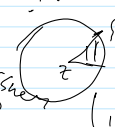
a.e.  $\xi \in E$ ,  $u(\xi)$  exists as non-tangential limit and  $\geq c$ .

But  $u(z) = \int \chi_{f^{-1}(V)} d\omega$ , so  $u(z) = \chi_{f^{-1}(V)}(z)$  a.e. Thus  $\int \chi_{f^{-1}(V)} d\omega = 0$

### Makarov's Thm - upper bound.

or r.c. domain. Then  $\omega \perp m_h$ , i.e.  $\exists E: \omega(E) = 1, \Lambda_h(E) = 0$ .  
 In particular, it follows  $\dim \omega < 2$ . (if  $\dim \omega = 2$ , then  $\omega \perp m_h$  is false)

Let  $I(z) := \{ \zeta \in \mathbb{R} : z \in T_{\zeta, h}(\zeta) \}$

$f: D \rightarrow \Omega$  - conformal  $\Rightarrow f'$ -meromorphic  $\Rightarrow$    $\lim_{h \rightarrow 0} \frac{h}{2} \int_{I(z)} |f'(z)| dz < \infty$  a.e.  $z \in \mathbb{R}$

Let  $E_h := \{ \zeta \in \mathbb{R} : |f'(z)| \leq h \}$   $E = \bigcup E_h$

We will see:  $M_h(f(E_h)) = 0$ . But  $\omega(V(E_h)) = \omega(f(E)) = 1$ .

More precisely:

Fix  $\varepsilon > 0$ . Choose  $\delta_n < \frac{\varepsilon}{n}$  : for  $t < \ln \delta_n$ ,  $\frac{h|t|}{t} < \frac{\varepsilon}{n 2^{n+2}}$ .

For  $\zeta \in E_h$   $\exists z$ :  $|I(z)| < \varepsilon$ ,  $|f'(z)| < n$ ,  $\zeta \in I(z)$ , and  $(1-|z|^2) < \delta_n$ .

$E_h$  is covered by mesh  $I(z)$ . By Vitali,  $\exists z_{n,j}$  :  $I_{n,j} := I(z_{n,j})$  - disjoint, cover a.e.  $E_h$ .  $\sum_j |I_{n,j}| \leq 2\pi\varepsilon$ .

Then  $(z_{n,j})_{n,j}$  - non-tangentially dense in  $U(\bigcup I_{n,j})$ , and  $|E \setminus \bigcup I_{n,j}| = 0$ .

Let  $w_{n,j} := f(z_{n,j})$ ,  $B_{n,j}$  - as in Rohde's Lemma,  $r_{n,j}$  - its radius.

Set  $V_{\varepsilon,n} := \partial \Omega \cap (V_{B_{n,j}})$ . Then, by Rohde's Lemma  $\int \chi_{f^{-1}(V_{\varepsilon,n})} d\omega = 0$ .

By Koebe distortion,  $2\varepsilon > 2|f'(z_{n,j})|(1-|z_{n,j}|^2) \geq \frac{r_{n,j}}{w_{n,j}}$ . So

$$M_h(V_{\varepsilon,n}) \leq \sum h(r_{n,j}) \leq \sum h(2|f'(z_{n,j})|(1-|z_{n,j}|^2)) \leq \sum \frac{\varepsilon}{n 2^{n+2}} 2|f'(z_{n,j})|(1-|z_{n,j}|^2) \leq \sum \frac{\varepsilon}{n 2^{n+2}} 2|f'(z_{n,j})|$$

$$\sum \frac{\varepsilon}{n 2^{n+2}} 2|f'(z_{n,j})| \leq \frac{\varepsilon}{2^{n+1}} \sum |I(z_{n,j})| \leq \frac{\varepsilon}{2^{n+1}} \cdot 2\pi\varepsilon. \text{ So for } V_\varepsilon := \bigcup V_{\varepsilon,n}, M_h(V_\varepsilon) \leq \sum \frac{\pi \varepsilon^2}{2^n} = \pi \varepsilon.$$

Take  $V = \bigcap V_{\varepsilon,n} \Rightarrow \omega(V) = 1$ , but  $M_h(V) = 0$ .

Can be strengthened:

Thm (Pommerenke)  $\exists E \subset \partial \Omega$ :  $\omega(E) = 1$ ,  $E$  -  $\sigma$ -finite length.

For the proof, need definition:

Def.  $w \in \partial \Omega$  is called cone point if  $\exists T$  - open isosceles triangle in  $\Omega$ ,  $(T \subset \Omega)$ , with  $w$  as the vertex with equal sides.



$$K := K_\Omega = \{ w \in \partial \Omega : w \text{ is a cone point} \}.$$

Lemma.  $K$  has  $\sigma$ -finite length.

Pf. Let  $L_n$  - countable set of all lines with rational slopes containing a rational point.

$K_n := \{ w \in K : \exists T_n(w) \text{ with vertex angle } \frac{\pi}{n}, \text{ base on } L_n \cap \{ |z| \leq n \}, T_n \subset \Omega, \text{ height of } T_n \geq \frac{1}{n} \text{ and } \leq n \}$ .

$K = \bigcup K_n$ ,  $K_n$  - compact  $\Rightarrow K$  - F $\sigma$  set.

Observe:  $\text{dist}(K_n, L_n) \geq \frac{1}{n}$ . Let  $\Omega_n = \bigcup_{w \in K_n} T_n(w)$ .

$\Omega_n \subset \Omega$ , has finitely many components (area of  $T_n \geq C_n \frac{1}{n^2}$ ).

$\Gamma_{n,j} \cap \partial \Omega_{n,j}$  - Jordan curves, disjoint (except for end points),  
 $k_n \subset V(\Gamma_{n,j} \setminus L_n)$ , and  $\Gamma_{n,j} \setminus L_n$  is a Lipschitz graph, so it has finite length!

Remark. Actually, oh  $k$  is and length are absolutely continuous.

Pt of Pommerenke.

Let  $G := \{ \gamma \in \mathcal{T} : \exists f'(\gamma) = \lim_{\gamma \rightarrow 1} f'(\gamma), f'(\gamma) \neq 0 \}$ .

Easy to see:  $f(G) \subset K_i$ .

$B := \{ \gamma \in \mathcal{T} : \exists f(\gamma) = \lim_{\gamma \rightarrow 1} f(\gamma) \text{ but } \lim_{\gamma \rightarrow 1} |f'(\gamma)| = 0 \}$ .

But Plessner + Privalov:

$|G \cup B| = 1$ . (A.e.  $\gamma \in \mathcal{T} \Rightarrow \gamma \in G \cup B$ ).

Lemma (Pommerenke)  $\exists S \subset f(B)$ : 1)  $\Lambda_1(S) = 0$  2)  $\omega(S \cup k) = 1$ .

Pt. As in pt of Makarov's Thm, find

$\{z_{n,j}\}$  such that:

$$1) |f'(z_{n,j})| \leq 2^{-n-3}$$

$$2) |B \setminus \bigcup I(z_{n,j})| = 0$$

$$3) \sum_j |I(z_{n,j})| \leq 2\pi$$

Take  $w_{n,j} := f(z_{n,j})$ ,  $r_{n,j} := \text{dist}(w_{n,j}, \partial \Omega)$ .

$$B_{n,j} := B(w_{n,j}, 2r_{n,j}) \quad V_n := \bigcup_j B_{n,j}$$

$$\text{Then } \sum r_{n,j} \leq \sum 2 |f'(z_{n,j})| (1 - |z_{n,j}|^2) \leq C \pi 2^{-n}$$

so if  $V := \bigcap_{n \geq N} \bigcup_{n \geq N} V_n$ , then  $\Lambda_1(V) = 0$ .

Let  $S := \bigcup \bigcap f(B)$ , then  $\Lambda_1(S) = 0$ .

By Milnor  $\omega(w_{n,j}, V_n, \Omega) \geq c$  and  $\bigcup_{n \geq N} V_n$  is non-tangentially dense on  $B$ .

so, by Rohde,  $|B \setminus \bigcup_{n \geq N} f^{-1}(A \cap V_n)| = 0 \quad \forall N$ . so

$$|B \setminus f^{-1}(S)| = 0 \Rightarrow \omega(f(B) \setminus S) = 0. \quad \text{so } \omega(S \cup k) = \omega(f(B \cup k)) = 1$$